

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

FORM B

MAY 14 2018

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LEGISLATIVE RESOURCE CENTER

18 MAY 18 AM 10:37

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Name: Joshua A. Mandel Daytime Telephone: \_\_\_\_\_

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: Ohio District: 11	<input type="checkbox"/> Check if Amendment
	Candidates - Date of Election: March 2020 Primary		
<input type="checkbox"/> New Officer or Employee Employing Office: _____	Staff Filer Type (If Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant		Period Covered: January 1, 2017 to April 30, 2018
			A \$200 penalty shall be assessed against any individual who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
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			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
JT	Bank of America Corp. (BAC)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																								
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																								
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year											
																None								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
	None																																														
	\$1 - \$1,000																																														
	\$1,001 - \$15,000																																														
	\$15,001 - \$50,000																																														
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	\$5,000,001 - \$25,000,000																																														
	\$25,000,001 - \$50,000,000																																														
	Over \$50,000,000																																														
	Spouse/DC Asset over \$1,000,000*																																														
JT	Gov't Nat'l Mortgage Ass'n (GNMA) - Pool #326937 maturing 02/15/23 (CUSIP 36224FE5)				X														X																												
JT	Allegheny Technologies Inc. - Senior Note maturing 08/15/23 (CUSIP 01741RAF9)					X													X																												
JT	Federal Nat'l Mortgage Ass'n (FNMA) - Pool #670911 maturing 12/01/32 (CUSIP 31391MKY8)						X												X																												
JT	Washington Mutual Mortgage - REMIC Series 2005-1 Class 6A1 maturing 03/25/35 (CUSIP 939336ZQ5)					X													X																												
JT	Countrywide MBS - REMIC Series 2005-20 Series A10 maturing 10/25/35 (CUSIP 126694FR4)					X													X																												
JT	PNC Financial Services Group Inc. Depositary Shares Series D (PNCKL)						X												X																												
JT	Triangle Capital Corp. - Senior Note maturing 12/15/22 (CUSIP 895848307)						X												X																												
JT	Vanguard Prime Money Market Fund - Admiral Class (VMBXX)	X							X																																						
JT	FS Investment Corp. (FSIC)				X														X																												
JT	Energy Transfer Partners LP (ETP)		X																																												

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Use additional sheets if more space is required.



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Use additional sheets if more space is required.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																												
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																												
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
																									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
JT	PermanPark Floating Rate Capital Ltd. (PFLT)	X															X																																		
JT	First Trust - 6680 Stifel Best Picks, May 2017 (CUSIP 30302R755)	X															X																																		
JT	Stifel - FDIC-Insured Bank Program Money Market Deposit Account																																																		
JT	Aqua America Inc. (WTR)																X																																		
JT	Automatic Data Processing Inc. (ADP)																X																																		
JT	Baxter International Inc. (BAX)																X																																		
JT	Becton Dickinson and Co. (BDX)																X																																		
JT	Church & Dwight Company, Inc. (CHD)																X																																		
JT	Coca-Cola Company (KO)																X																																		
JT	Costco Wholesale Corporation (COST)																X																																		
JT	Emerson Electric Co. (EMR)																X																																		
JT	General Mills, Inc. (GIS)																X																																		
JT	Genuine Parts Company (GPC)																X																																		
JT	Johnson & Johnson (JNJ)																X																																		
JT	McDonald's Corp. (MCD)																X																																		
JT	Merck & Company Inc. (MRK)																X																																		

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																								
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																								
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year											
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
JT	SPDR S&P Biotech - Call option at \$75 exp. 11/17/17 (XBI 11/17/17 C75)	X														X								X												X											
JT	Take-Two Interactive Inc. (TTWO)	X																		X																											
JT	Take-Two Interactive Inc. - Call option at \$49 exp. 01/20/17 (TTWO 1/20/17 C49)	X																						X												X											
JT	Target Corporation (TGT)	X															X							X												X											
JT	Tech Data Corp. (TECD)	X																		X																											
JT	Tech Data Corp. - Call option at \$100 exp. 12/15/17 (TECD 12/15/17 C100)	X														X								X												X											
JT	Tech Data Corp. - Call option at \$105 exp. 09/15/17 (TECD 9/15/17 C105)	X																		X				X												X											
JT	United Continental Holdings Inc. - Call option at \$67.50 exp. 01/19/18 (UAL 1/19/18 C67.5)	X														X								X												X											
JT	United Continental Holdings Inc. - Call option at \$70 exp. 12/15/17 (UAL 12/15/17 C70)	X																		X				X												X											
JT	United Continental Holdings Inc. - Call option at \$72.50 exp. 03/16/18 (UAL 3/16/18 C72.5)	X																		X				X												X											

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Use additional sheets if more space is required.

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income																												
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year														
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SP	Memphis Fulton Associates LP					X																Partnership Income		X																										
SP	commercial real estate: Memphis Fulton Shopping Center 4215 Fulton / S200 Memphis Cleveland, OH																																																	
SP	Middletown Properties Company Limited LLC	X															X							X																										
SP	commercial real estate: Middletown Shopping Center 413-665 S. Breiel Middletown, OH																																																	
SP	Midstate Properties Company Limited LLC								X									X				Partnership Income			X																									
SP	commercial real estate: Fostoria Plaza 530-640 Plaza Drive Fostoria, OH																																																	
SP	Ridgeview Partners LP	X																						X																										
SP	commercial real estate: Ridgeview Plaza 1937-1983 Ridge Ave SE Warren, OH																																																	

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income																												
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
SP	➤ Rzepak LLC				X																		Partnership Income	X																											
SP	➤ commercial real estate: Broadway Shoppes 6549 Broadway Cleveland, OH																						Partnership Income																												
SP	➤ Sandusky Properties Company, Ltd. LLC					X												X					Partnership Income																												
SP	➤ commercial real estate: Sugar Creek Plaza 1253 E. Caro Rd. Caro, MI																						Partnership Income																												
SP	➤ Summit II, LLC						X											X					Partnership Income																												
SP	➤ commercial real estate: The Orchard Plaza 5823 Darrow Rd. Hudson, OH																																																		
SP	➤ Taylor Commons Associates Limited LLC						X											X					Partnership Income																												
SP	➤ commercial real estate: Taylor Commons 1901-1929 S. Taylor Road Cleveland Hts., OH																																																		

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Use additional sheets if more space is required.

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Use additional sheets if more space is required

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Use additional sheets if more space is required.

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income																															
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year																	
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII						
SP	Memphis Fulton Associates LP							X										X				Partnership Income					X																										
SP	commercial real estate: Memphis Fulton Shopping Center 4215 Fulton / 5200 Memphis Cleveland, OH																																																				
SP	Param Management Company, Ltd. (property management)						X															Partnership Income																															
SP	Ridgeview Partners LP		X																																																		
SP	commercial real estate: Ridgeview Plaza 1937-1983 Ridge Ave SE Warren, OH																																																				
SP	Trumbull Properties Company LP		X																																																		
SP	commercial real estate: site of former Austin Village Plaza 2894 West Market St. Warren, OH																																																				
SP	Ambassador Holdings LLC						X																																														
SP	commercial real estate: Ambassador Plaza 22293-22683 Euclid Ave. Euclid, OH																																																				



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Amount of Liability

[illegible]

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Partner	Statehouse Properties LLC
Treasurer of State	State of Ohio
	<p>➤ As Ohio Treasurer of State, filer has staff designees that represent the Treasurer's office on multiple organizations throughout State government.</p>

# **SCHEDULE F – AGREEMENTS**

Name: Joshua A. Mandel

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	- NONE TO REPORT -	

# **SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
State of Ohio		State Treasurer service